

# Richard Harbord Solicitor 224014

Control of practice Date: 1 July 2024

## **Decision - Control of practice**

**Outcome: Condition** 

Outcome date: 1 July 2024

Published date: 9 July 2024

## Firm details

## Firm or organisation at time of matters giving rise to outcome

Name: Harbord & Co

Address(es): Harbord McGlinchey Solicitors, The Atrium, 4 Curtis, Road,

Dorking RH4 1XA

Firm ID: 471544

#### **Outcome details**

This outcome was reached by SRA decision.

#### **Decision details**

Richard Harbord's practising certificate for 2023/2024 is subject to the following conditions:

- 1. Mr Harbord may not act as a manager or owner of an authorised body.
- 2. Mr Harbord may not act as a compliance officer for legal practice (COLP) or compliance officer for finance and administration (COFA) for any authorised body.
- 3. Mr Harbord does not hold or receive client money, or act as a signatory to any client or office account or have the power to authorise transfers from any client or office account.
- 4. An exception to condition 3 is granted to allow Mr Harbord to facilitate the smooth transfer of accounts to the new entity taking over Harbord & Co and McGlinchey & Co.
- 5. Mr Harbord may not practise on his own account under regulation 10.2(a) or (b) of the SRA Authorisation of Individuals Regulations.



In these conditions the terms are as defined in the SRA Glossary.

#### Reasons/basis

The above conditions are necessary in the public interest. They are reasonable and proportionate having regard to the purposes set out in regulation 7 of the SRA Authorisation of Individuals Regulations, and the regulatory objectives and principles governing regulatory activities as contained in section 28 of the Legal Services Act 2007.

## **Prosecution Date: 6 December 2023**

#### **Decision - Prosecution**

Outcome: Referral to Solicitors Disciplinary Tribunal

Outcome date: 6 December 2023

Published date: 24 April 2024

## Firm details

## Firm or organisation at time of matters giving rise to outcome

Name: McGlinchey & Co & Harbord & Co

Address(es): The Atrium, 4 Curtis Road, Dorking RH4 1XA

Firm ID: 512174 & 471544

## **Outcome details**

This outcome was reached by SRA decision.

#### Reasons/basis

#### **Outcome of SDT Hearing**

This notification relates to a Decision to prosecute before the Solicitors Disciplinary Tribunal. This is an independent Tribunal which reaches its own decision after considering all the evidence, including any evidence put forward by the Respondent. The Tribunal had certified that there was a case to answer.

The matter was heard on 11 and 12 September 2024.

Mr. Harbord was fined £8,500.

The SDT judgment will be available at <a href="http://www.solicitorstribunal.org.uk">www.solicitorstribunal.org.uk</a>[<a href="http://www.solicitorstribunal.org.uk">http://www.solicitorstribunal.org.uk</a>]



Search again [https://www.sra.org.uk/consumers/solicitor-check/]