

Consultation responses from the SRA

We publish here a selection of the SRA's own responses to public consultations and reviews.

Reform of the Anti-Money Laundering and Counter-Terrorism Financing Supervisory Regime

23 October 2023

[<https://www.sra.org.uk/sra/consultations/consultation-responses/reform-anti-money-laundering-counter-terrorism-financing-supervisory-regime/>] Legal Services Board: Draft statement of policy on ongoing competence

07 March 2022

[<https://www.sra.org.uk/sra/consultations/consultation-responses/legal-services-board-draft-statement-of-policy-on-ongoing-competence/>] OLC Business Plan and Budget 2021-22

06 January 2021

[<https://www.sra.org.uk/sra/consultations/consultation-responses/olc-business-plan-and-budget-2021-22/>] Economic Crime Levy Consultation: Response of the Solicitors Regulation Authority (SRA)

10 December 2020

[<https://www.sra.org.uk/sra/consultations/consultation-responses/economic-crime-levy-consultation/>] Response to the Legal Services Board's Call for Evidence: Ongoing Competence

29 June 2020

[<https://www.sra.org.uk/sra/consultations/consultation-responses/lb-ongoing-competence/>] Legal Services Board (LSB) supplementary consultation on proposed Internal Governance Rules

06 March 2020

[<https://www.sra.org.uk/sra/consultations/consultation-responses/legal-services-board-lsb-consultation-on-supplementary-consultation-on-proposed-internal-governance-rules/>] Draft Business Plan 2019/20 Legal Services Board

06 March 2020

[<https://www.sra.org.uk/sra/consultations/consultation-responses/lb-consultation-on-draft-business-plan-2019-20/>] Office for Legal Complaints corporate strategy for 2020-23 and business plan for 2020-21

12 February 2020

[<https://www.sra.org.uk/sra/consultations/consultation-responses/office-for-legal-complaints-corporate-strategy-2020-23-business-plan-2020-21/>] Legal Ombudsman discussion paper: Transparency and Reporting Impact

19 December 2019

[<https://www.sra.org.uk/sra/consultations/consultation-responses/transparency-reporting-impact/>] Transposition of the Fifth Money Laundering Directive

14 June 2019

[<https://www.sra.org.uk/sra/consultations/consultation-responses/fifth-money-laundering-directive/>] Confidentiality clauses: measures to prevent misuse in situations of workplace harassment or discrimination

30 May 2019

[<https://www.sra.org.uk/sra/consultations/consultation-responses/confidentiality-clauses/>] Joint committee on the draft Registration of Overseas Entities Bill - SRA response

27 March 2019



[\[https://www.sra.org.uk/sra/consultations/consultation-responses/draft-registration-overseas-entities-bill/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/draft-registration-overseas-entities-bill/) Revising the Mental Capacity Act 2005 Code of Practice: Call for evidence - SRA response

18 March 2019

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/revising-the-mental-capacity-act/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/revising-the-mental-capacity-act/) BEIS and RDA consultation on ethnicity pay reporting - SRA response

11 February 2019

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/beis-rda-consultation-ethnicity-pay-reporting/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/beis-rda-consultation-ethnicity-pay-reporting/) SRA response: Legal Ombudsman 2019/20 business plan and budget

17 January 2019

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/leo-2019-20-business-plan/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/leo-2019-20-business-plan/) Reform of the Gender Recognition Act 2004

31 October 2018

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/reform-gender-recognition-act-2004/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/reform-gender-recognition-act-2004/) Vulnerable People Inquiry APPG on Financial Crime and Scamming

30 October 2018

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/vulnerable-people-inquiry/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/vulnerable-people-inquiry/) Opening trade negotiations with Australia / New Zealand / The United States / The Comprehensive and Progressive Agreement for Trans-Pacific Partnership, Department for International Trade

30 October 2018

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/opening-trade-negotiations/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/opening-trade-negotiations/) Solicitors Disciplinary Tribunal consultation on the making of procedural rules in relation to applications to the tribunal

27 September 2018

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/sdt-applications/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/sdt-applications/) Legal Services Consumer Panel's draft three year strategy and work programme

05 March 2018

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/legal-services-consumer-panel-response/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/legal-services-consumer-panel-response/) Strategy 2018-21 and Business Plan 2018/19 Legal Services Board

26 February 2018

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/strategy-business-plan-2018-lsb/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/strategy-business-plan-2018-lsb/) The Legal Services Board consultation on reviewing Internal Governance Rules

15 February 2018

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/igr-response/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/igr-response/) Legal Ombudsman's Consultation on our business plan and budget 2018-2019

24 January 2018

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/legal-ombudsman-response/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/legal-ombudsman-response/) Consultation on proposed maintenance amendments to the laws that regulate the financial services industry and other non-financial businesses and professions in Jersey

05 December 2017

[\[https://www.sra.org.uk/sra/consultations/consultation-responses/jersey-fsc/\]](https://www.sra.org.uk/sra/consultations/consultation-responses/jersey-fsc/) Competition and Markets Authority Legal Services Market Study Report

29 June 2017



Our report on Competition and Markets Authority Legal Services Market Study

[<https://www.sra.org.uk/sra/consultations/consultation-responses/cma-report/>] BSB consultation on standard of proof

13 June 2017

[<https://www.sra.org.uk/sra/consultations/consultation-responses/bsb-response/>] HM Treasury consultation on the Money Laundering Regulations 2017

13 April 2017

[<https://www.sra.org.uk/sra/consultations/consultation-responses/mlr-regs-hm-treasury/>] Consultation on the Legal Services Board Business Plan 2017/18

24 January 2017

[<https://www.sra.org.uk/sra/consultations/consultation-responses/lb-business-plan-2017-18/>] Justice Select Committee inquiry: implications of Brexit for the justice system

30 November 2016

[<https://www.sra.org.uk/sra/consultations/consultation-responses/brexit-justice-enquiry/>] Competition and Markets Authority Digital Comparison Tools Market Study

22 November 2016

[<https://www.sra.org.uk/sra/consultations/consultation-responses/cma-dtc-market-study/>] HM Treasury 2016 Autumn Statement

17 October 2016

[<https://www.sra.org.uk/sra/consultations/consultation-responses/autumn-statement-2016/>] Competition and Markets Authority Legal Services Market Study Interim Report

30 August 2016

[<https://www.sra.org.uk/sra/consultations/consultation-responses/cma-interim-report/>] Ministry of Justice's consultation on 'Legal services: removing barriers to competition'

01 August 2016

[<https://www.sra.org.uk/sra/consultations/consultation-responses/moj-removing-barriers-competition/>] General Pharmaceutical Council's consultation on standards for pharmacy professionals

06 July 2016

[<https://www.sra.org.uk/sra/consultations/consultation-responses/standard-pharmacy-professionals/>] Options to refine the UK competition regime

24 June 2016

[<https://www.sra.org.uk/sra/consultations/consultation-responses/options-refine-uk-competition-regime/>] Department for Business, Innovation and Skills' National Innovation Plan: call for ideas

07 June 2016

[<https://www.sra.org.uk/sra/consultations/consultation-responses/national-innovation-plan/>] HM Treasury Call For Information: Anti-Money Laundering Supervisory Regime

03 June 2016

[<https://www.sra.org.uk/sra/consultations/consultation-responses/aml-supervisory-scheme/>] Consultation by Lord Chief Justice of England and Wales on reforming the courts' approach to McKenzie Friends

20 May 2016



[<https://www.sra.org.uk/sra/consultations/consultation-responses/mckenzie-friends/>] Legal Ombudsman consultation on Proposed ADR Scheme Rules

09 December 2015

[<https://www.sra.org.uk/sra/consultations/consultation-responses/leo-adr-scheme-rules/>] Ministry of Justice consultation on Preserving and Enhancing the Quality of Criminal Advocacy

02 December 2015

[<https://www.sra.org.uk/sra/consultations/consultation-responses/moj-quality-criminal-advocacy/>] Consultation on removing the seven year rule for exemptions from CILEx qualifications

30 November 2015

[<https://www.sra.org.uk/sra/consultations/consultation-responses/cilex-seven-year-rule/>] The Future of Training for the Bar: Academic, Vocational and Professional Stages of Training consultation

12 November 2015

Read the SRA response to the Office for Legal Complaints consultation paper "OLC draft scheme rules".

[<https://www.sra.org.uk/sra/consultations/consultation-responses/bar-future-training/>] Extending the scope of the duty for non-economic regulators to have regard to economic growth and defining the scope of the Small Business Appeals Champions - Department for Business Innovation and Skills consultation

23 January 2015

[<https://www.sra.org.uk/sra/consultations/consultation-responses/extending-scope-of-duty-non-economic-regulators-economic-growth-defining-scope-small-business-appeals-champions/>] Claims Management Regulator Regulatory Enforcement - Financial Penalties, Ministry of Justice consultation

06 October 2014

[<https://www.sra.org.uk/sra/consultations/consultation-responses/regulatory-enforcement-financial-penalties/>] SRA response to consultation

30 January 2014

The SRA's strategy for the reform of the regulation of legal education and training, Training for Tomorrow, which was published on 16 October 2013, is consistent with the broad thrust of the LSB's consultation paper and draft guidance

[<https://www.sra.org.uk/sra/consultations/consultation-responses/lsb-increasing-flexibility-education-training/>] Transparency and Trust: Enhancing the transparency of UK company ownership and increasing trust in UK business

05 December 2013

This response is submitted on behalf of the Solicitors Regulation Authority (SRA), the independent regulatory body of the Law Society for England and Wales (TLS)

[<https://www.sra.org.uk/sra/consultations/consultation-responses/transparency-trust/>] Financial Conduct Authority consultation paper - detailed proposals for the FCA regime for consumer credit (CP13/10)

05 December 2013

[<https://www.sra.org.uk/sra/consultations/consultation-responses/fca-regime-consumer-credit/>] Legal Services Board consultation paper on lay chairs for front line regulators

12 November 2013



[<https://www.sra.org.uk/sra/consultations/consultation-responses/lb-lay-chairs-front-line-regulators/>] Regulation of legal services in England and Wales, Ministry of Justice call for evidence

02 September 2013

[<https://www.sra.org.uk/sra/consultations/consultation-responses/moj-call-evidence-legal-services-regulation/>] "Amending the Regulators' Compliance Code", Department for Business, Innovation and Skills consultation

15 May 2013

[<https://www.sra.org.uk/sra/consultations/consultation-responses/amending-regulators-compliance-code/>] High-level proposals for an FCA regime for consumer credit, Financial Conduct Authority consultation (FCA CP 13/7)

14 May 2013

[<https://www.sra.org.uk/sra/consultations/consultation-responses/regime-for-consumer-credit/>] Discipline and Appeals Arrangements, Council for Licensed Conveyancers consultation

29 April 2013

[<https://www.sra.org.uk/sra/consultations/consultation-responses/discipline-appeals-arrangements/>] "Duty to have regard to growth", Department for Business, Innovation and Skills consultation

24 April 2013

SRA's response to "Duty to have regard to growth", Department for Business, Innovation and Skills consultation

[<https://www.sra.org.uk/sra/consultations/consultation-responses/bis-duty-for-growth/>] "Enhancing consumer protection, reducing regulatory restrictions: will-writing, probate and estate administration activities", Legal Services Board consultation

23 July 2012

[<https://www.sra.org.uk/sra/consultations/consultation-responses/will-writing/>] "Review of the scheme rules and case fee structure", Legal Ombudsman consultation

18 July 2012

"Review of the scheme rules and case fee structure", Legal Ombudsman consultation - SRA response

[<https://www.sra.org.uk/sra/consultations/consultation-responses/leo-scheme-rules-case-fees/>] "Regulation of immigration advice and services", Legal Services Board consultation

28 May 2012

[<https://www.sra.org.uk/sra/consultations/consultation-responses/lb-regulation-immigration-advice-service/>] Triennial review of the Legal Services Board, Ministry of Justice call for evidence

05 April 2012

[<https://www.sra.org.uk/sra/consultations/consultation-responses/triennial-review-legal-services-board-lsb/>] Enhancing consumer protection, reducing regulatory restrictions, Legal Services Board consultation

11 November 2011

[<https://www.sra.org.uk/sra/consultations/consultation-responses/enhancing-consumer-protection-reducing-regulatory-restrictions/>] Setting the maximum financial penalty for ABS licensing, Legal Services Board consultation

26 January 2011

[<https://www.sra.org.uk/sra/consultations/consultation-responses/lb-abs-licensing-penalty/>] Referral fees, referral arrangements and fee sharing, Legal Services Board discussion document



31 December 2010

[<https://www.sra.org.uk/sra/consultations/consultation-responses/referral-fees-referral-arrangements-and-fee-sharing/>] "The Levy: funding legal services oversight regulation", Legal Services Board/Legal Ombudsman consultation

30 September 2010

[<https://www.sra.org.uk/sra/consultations/consultation-responses/levy-funding-legal-services-oversight-regulation/>] "Contractual terms of work for the supply of legal services by Barristers to Solicitors", Bar Council consultation

04 August 2010

[<https://www.sra.org.uk/sra/consultations/consultation-responses/contractual-terms-supply-legal-services-barristers-solicitors/>] Development of authorisation to practise arrangements, Bar Standards Board consultation - SRA response

01 June 2010

[<https://www.sra.org.uk/sra/consultations/consultation-responses/development-authorisation-practise-arrangements/>] Final report of the Panel on Fair Access to the Professions

06 May 2010

[<https://www.sra.org.uk/sra/consultations/consultation-responses/final-report-panel-on-fair-access-to-the-professions/>] Disclosure of Information by the OLC and the LSB, Ministry of Justice consultation

26 April 2010

[<https://www.sra.org.uk/sra/consultations/consultation-responses/response-from-the-solicitors-regulation-authority--ministry-of-justice---disclosure-of-information-by-the-olc-and-the-lsb/>] Review of Licence and Practice Fee Arrangements, Council for Licensed Conveyancers consultation

26 April 2010

[<https://www.sra.org.uk/sra/consultations/consultation-responses/response-to-council-for-licensed-conveyancers-consultation--review-of-licence-and-practice-fee-arrangements/>] Legal Services Board draft business plan 2010/11

15 March 2010

[<https://www.sra.org.uk/sra/consultations/consultation-responses/legal-services-board-draft-business-plan/>] Legal Services Board draft equality scheme

15 March 2010

[<https://www.sra.org.uk/sra/consultations/consultation-responses/legal-services-board-draft-equality-scheme/>] "Compliance and Enforcement – Statement of Policy in relation to cancellation of designation as a Licensing Authority", Legal Services Board consultation

15 February 2010

[<https://www.sra.org.uk/sra/consultations/consultation-responses/lb-compliance-and-enforcement-statement-of-policy-in-relation-to-cancellation-of-designation-as-a-licensing-authority/>] "Designating Approved Regulators as Licensing Authorities", Legal Services Board consultation

15 February 2010

[<https://www.sra.org.uk/sra/consultations/consultation-responses/legal-services-board-designating-approved-regulators-as-licensing-authorities/>] "OLC draft scheme rules", Office for Legal Complaints consultation

30 November 2009

Read the SRA response to the Office for Legal Complaints consultation paper "OLC draft scheme rules".

[<https://www.sra.org.uk/sra/consultations/consultation-responses/response-olc-draft-scheme/>] "Internal Governance and Practising Fee Rules", Legal Services Board (supplementary) consultation

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[<https://www.sra.org.uk/sra/consultations/consultation-responses/response-internal-governance-and-practising-fee-rules-supplementary-consultation/>] "Compliance and Enforcement - Statement of Policy", Legal Services Board consultation

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[<https://www.sra.org.uk/sra/consultations/consultation-responses/response-compliance-and-enforcement-statement-of-policy/>] "Designating new approved regulators and approving rule changes", Legal Services Board consultation

15 October 2009

[<https://www.sra.org.uk/sra/consultations/consultation-responses/designating-new-approved-regulators-and-approving-rule-changes/>] "Regulating Damages-Based Agreements", Ministry of Justice consultation (CP 10/09)

15 October 2009

[<https://www.sra.org.uk/sra/consultations/consultation-responses/regulating-damages-based-agreements/>] "Wider Access, Better Value, Strong Protection", Legal Services Board discussion paper

20 August 2009

[<https://www.sra.org.uk/sra/consultations/consultation-responses/wider-access-better-value-strong-protection/>] Review of the Regulation of Corporate Legal Work

30 June 2009

[<https://www.sra.org.uk/sra/consultations/consultation-responses/response-review-regulation-corporate-legal-work-june-2009/>] Proposed rules under section 30 of the Legal Services Act (Part 2), Legal Services Board consultation

26 June 2009

[<https://www.sra.org.uk/sra/consultations/consultation-responses/response-legal-services-board-consultation-proposed-rules-section-30-part-2/>] Proposed rules under section 30 of the Legal Services Act (Part 1), Legal Services Board consultation

01 June 2009

[<https://www.sra.org.uk/sra/consultations/consultation-responses/response-legal-services-board-consultation-section-30-part-1/>] Legal Regulation Review call for evidence

28 May 2009

Consultation response: SRA response to the Legal Regulation Review call for evidence

[<https://www.sra.org.uk/sra/consultations/consultation-responses/legal-regulation-review-evidence/>]