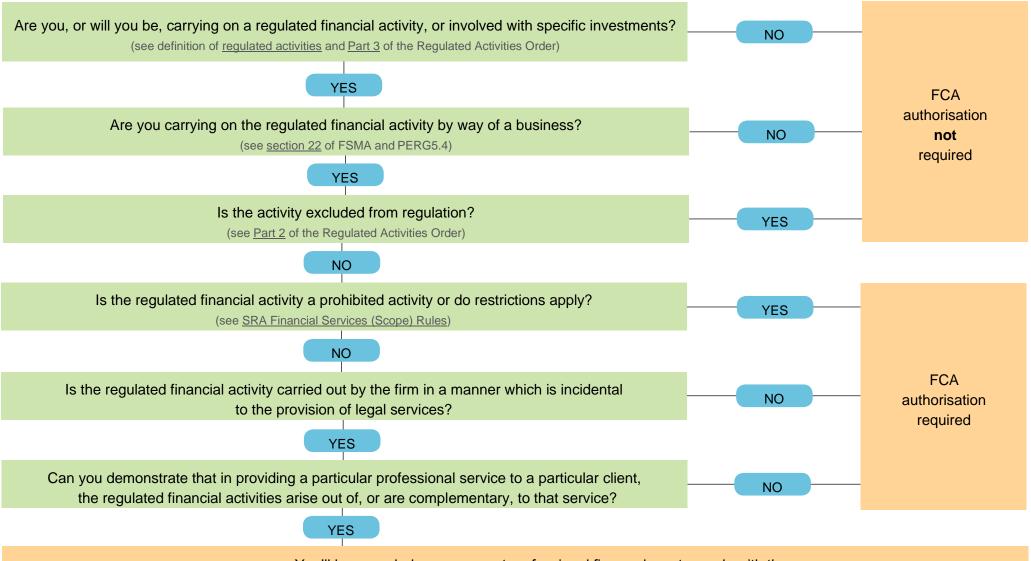
Do you need to be authorised by the Financial Conduct Authority or are you an Exempt Professional Firm?



You'll be regarded as an exempt professional firm and must comply with the SRA's regulatory arrangements (<u>Scope Rules</u> and <u>COB Rules</u>). If you carry on insurance distribution activities, you will also need to appoint an insurance distribution officer.