

Notify us of the financial services your firm provides

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What is this notification for?

You need to tell us about the financial services your firm provides. Your firm's details will be added to the [Financial Conduct Authority \(FCA\) Exempt Professional Firms Register](#) (<http://register.fca.org.uk/>).

You need to read [our rules](https://www.sra.org.uk/solicitors/resources-archived/financial-services/) (<https://www.sra.org.uk/solicitors/resources-archived/financial-services/>) to decide if the financial services being carried out also need additional FCA regulation.

Notify us about financial activities

You must confirm the financial activities your firm undertakes.

Notify us about a change to your insurance distribution officer

If you carry on insurance distribution activities, you need to tell us who your insurance distribution officer is.

Help with your notification

[Contact us](https://www.sra.org.uk/home/contact-us/) (<https://www.sra.org.uk/home/contact-us/>) if you have any queries before you submit your notification.

How to tell us

[Notify us of Financial Services Activities](#)

[Log in to mySRA to notify us. Go to new applications.](#)

[\[https://www.sra.org.uk/link/93f017776e78490eac32edeb8f5a63e0.aspx\]](https://www.sra.org.uk/link/93f017776e78490eac32edeb8f5a63e0.aspx)

There is no fee for this notification.

We will let you know if your notification is incomplete or ineligible.

We aim to process notifications within 30 days.

