

## **[Index of SRA Standards and Regulations](#)**

[\[https://www.sra.org.uk/solicitors/standards-regulations/index/\]](https://www.sra.org.uk/solicitors/standards-regulations/index/)

The index will help you find where various themes occur across the Standards and Regulations.

## **[Standards and Regulations resources](#)**

[\[https://www.sra.org.uk/solicitors/standards-regulations-resources/\]](https://www.sra.org.uk/solicitors/standards-regulations-resources/)

Our shorter, simpler Standards and Regulations focus on high professional standards and protecting the public. Learn more

## **[Archive: SRA Handbook](#)**

[\[https://www.sra.org.uk/solicitors/handbook/\]](https://www.sra.org.uk/solicitors/handbook/)

The SRA Handbook was replaced by the SRA Standards and Regulations on 25 November 2019.

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Action:

**[Principles](#)** [\[https://www.sra.org.uk/solicitors/standards-regulations/principles/\]](https://www.sra.org.uk/solicitors/standards-regulations/principles/)

The fundamental tenets of ethical behaviour that we, the SRA, expect of those that we regulate.

Last updated: 25 November 2019

## **[Code of Conduct for Solicitors, RELs and RFLs](#)**

[\[https://www.sra.org.uk/solicitors/standards-regulations/code-conduct-solicitors/\]](https://www.sra.org.uk/solicitors/standards-regulations/code-conduct-solicitors/)

The standards that we, the SRA, and the public expect of individuals (solicitors, registered European lawyers and registered foreign lawyers).

Last updated: 1 December 2023

## **[Code of Conduct for Firms](#)**

[\[https://www.sra.org.uk/solicitors/standards-regulations/code-conduct-firms/\]](https://www.sra.org.uk/solicitors/standards-regulations/code-conduct-firms/)

The standards and business controls that we, the SRA, and the public expect of firms (including sole practices).

Last updated: 6 April 2023

## **[Accounts Rules](https://www.sra.org.uk/solicitors/standards-regulations/accounts-rules/)** [<https://www.sra.org.uk/solicitors/standards-regulations/accounts-rules/>]

Our requirements for when firms (including sole practices) authorised by us receive or deal with money belonging to clients.

Last updated: 25 November 2019

## **[Application, Notice, Review and Appeal Rules](https://www.sra.org.uk/solicitors/standards-regulations/application-notice-review-appeal-rules/)** [<https://www.sra.org.uk/solicitors/standards-regulations/application-notice-review-appeal-rules/>]

Our processes for handling applications made to us and the process for internal reviews and external appeals against our decisions.

Last updated: 29 May 2023

## **[Assessment of Character and Suitability Rules](https://www.sra.org.uk/solicitors/standards-regulations/assessment-character-suitability-rules/)** [<https://www.sra.org.uk/solicitors/standards-regulations/assessment-character-suitability-rules/>]

Our criteria for assessing character and suitability.

Last updated: 5 April 2023

## **[Authorisation of Firms Rules](https://www.sra.org.uk/solicitors/standards-regulations/authorisation-firms-rules/)** [<https://www.sra.org.uk/solicitors/standards-regulations/authorisation-firms-rules/>]

Our arrangements for authorising firms, including recognised bodies, licensed bodies and recognised sole practices.

Last updated: 1 December 2023

## **[Authorisation of Individuals Regulations](https://www.sra.org.uk/solicitors/standards-regulations/authorisation-individuals-regulations/)** [<https://www.sra.org.uk/solicitors/standards-regulations/authorisation-individuals-regulations/>]

Our arrangements for authorising individuals as solicitors, RELs and RFLs.

Last updated: 12 June 2024

## **[Education, Training and Assessment Provider Regulations](https://www.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/)** [<https://www.sra.org.uk/solicitors/standards-regulations/education-training-assessment-provider-regulations/>]

Our requirements for organisations which provide or intend to provide education and training.

Last updated: 28 August 2023

## **Financial Services (Scope) Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/financial-services-scope-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/financial-services-scope-rules/)

The scope of the regulated financial services activities that may be undertaken by firms we authorise.

Last updated: 25 November 2019

## **Financial Services (Conduct of Business) Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/financial-services-conduct-business-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/financial-services-conduct-business-rules/)

Our rules for firms we authorise who are permitted to undertake regulated financial services activities.

Last updated: 31 December 2020

## **Overseas and Cross-border Practice Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/overseas-cross-border-practice-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/overseas-cross-border-practice-rules/)

The standards we, the SRA, expect of regulated individuals and firms when practising overseas.

Last updated: 25 November 2019

## **Regulatory and Disciplinary Procedure Rules**

[\[https://www.sra.org.uk/solicitors/standards-regulations/regulatory-disciplinary-procedure-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/regulatory-disciplinary-procedure-rules/)

How we investigate and take disciplinary and regulatory action.

Last updated: 29 May 2023

## **Statutory Trust Rules** [\[https://www.sra.org.uk/solicitors/standards-regulations/statutory-trust-rules/\]](https://www.sra.org.uk/solicitors/standards-regulations/statutory-trust-rules/)

Our obligations relating to any money we take possession of after an intervention.

Last updated: 25 November 2019

## **Roll, Registers and Publication Regulations**

[\[https://www.sra.org.uk/solicitors/standards-regulations/roll-registers-publication-regulations/\]](https://www.sra.org.uk/solicitors/standards-regulations/roll-registers-publication-regulations/)

The nature and contents of the registers and the roll that we are required to keep.

Last updated: 1 December 2023



## **[Transparency Rules](https://www.sra.org.uk/solicitors/standards-regulations/transparency-rules/)** [<https://www.sra.org.uk/solicitors/standards-regulations/transparency-rules/>]

The information which should be made available to clients and potential clients.

Last updated: 25 November 2019

## **[Indemnity Fund \(Enactment\) Rules](https://www.sra.org.uk/solicitors/standards-regulations/indemnity-fund-enactment-rules/)**

[<https://www.sra.org.uk/solicitors/standards-regulations/indemnity-fund-enactment-rules/>]

Our rules to enact the indemnity rules.

Last updated: 30 September 2023

## **[Indemnity Fund Rules](https://www.sra.org.uk/solicitors/standards-regulations/indemnity-fund-rules/)** [<https://www.sra.org.uk/solicitors/standards-regulations/indemnity-fund-rules/>]

Our rules to govern the operation of the Solicitors Indemnity Fund.

Last updated: 30 September 2023

## **[Compensation Fund Rules](https://www.sra.org.uk/solicitors/standards-regulations/compensation-fund-rules/)**

[<https://www.sra.org.uk/solicitors/standards-regulations/compensation-fund-rules/>]

Our rules to govern the way that we operate the SRA Compensation Fund.

Last updated: 4 July 2021

## **[Indemnity Insurance Rules](https://www.sra.org.uk/solicitors/standards-regulations/indemnity-insurance-rules/)**

[<https://www.sra.org.uk/solicitors/standards-regulations/indemnity-insurance-rules/>]

Our indemnity insurance requirements for firms that we authorise.

Last updated: 13 December 2021

## **[SQE Assessment Regulations](https://www.sra.org.uk/solicitors/standards-regulations/sqe-assessment-regulations/)**

[<https://www.sra.org.uk/solicitors/standards-regulations/sqe-assessment-regulations/>]

These Regulations govern the Solicitors Qualifying Examination.

Last updated: 31 May 2022

## **[SRA Claims Management Fees Rules](https://www.sra.org.uk/solicitors/standards-regulations/claims-management-fees-rules/)**

[<https://www.sra.org.uk/solicitors/standards-regulations/claims-management-fees-rules/>]

Our rules to protect consumers from excessive charges when they are represented by solicitors in claims relating to financial products and services.

Last updated: 25 July 2024

**[The prescribed organisations and terms under which Solicitors, RELs and RFLs are allowed to hold client money in their own name](https://www.sra.org.uk/solicitors/standards-regulations/hold-client-money/)**

[\[https://www.sra.org.uk/solicitors/standards-regulations/hold-client-money/\]](https://www.sra.org.uk/solicitors/standards-regulations/hold-client-money/)

Last updated: 25 November 2019

**[The prescribed circumstances in which you can withdraw client money from client account to pay to a charity of your choice](https://www.sra.org.uk/solicitors/standards-regulations/withdraw-client-money/)** [\[https://www.sra.org.uk/solicitors/standards-regulations/withdraw-client-money/\]](https://www.sra.org.uk/solicitors/standards-regulations/withdraw-client-money/)

Last updated: 25 November 2019

**[Glossary](https://www.sra.org.uk/solicitors/standards-regulations/glossary/)** [\[https://www.sra.org.uk/solicitors/standards-regulations/glossary/\]](https://www.sra.org.uk/solicitors/standards-regulations/glossary/)

Definitions of the terms we use in our rules.

Last updated: 1 December 2023