

Hibernian Private Client Limited (HA Law)
4th Floor, 18 St Cross Street, London , EC1N 8UN
Licensed body
611543

[Fined Date: 13 August 2024](#)

Decision - Fined

Outcome: Fine

Outcome date: 13 August 2024

Published date: 10 September 2024

Firm details

Firm or organisation at date of publication and at time of matters giving rise to outcome

Name: Hibernian Private Client Limited

Address(es): 4th Floor, 18 St Cross Street, London, EC1N 8UN

Firm ID: 611543

Outcome details

This outcome was reached by SRA decision.

Decision details

Hibernian Private Client Limited was directed to pay a fixed financial penalty of £1,500 and costs of £150.

Reasons/basis

Hibernian Private Client Limited is a licensed body whose office is at 4th Floor, 18 St Cross Street, London, EC1N 8UN.

Firms must at all times have in place a compliance officer for legal practice (COLP) and a compliance officer for finance and administration (COFA).

A firm must designate a person as its COLP and a person as its COFA and the SRA must approve that person before they commence their role.

Hibernian Private Client Limited is a licensed body whose office is at 4th Floor, 18 St Cross Street, London, EC1N 8UN.

Firms must at all times have in place a compliance officer for legal practice (COLP) and a compliance officer for finance and administration (COFA).

A firm must designate a person as its COLP and a person as its COFA and the SRA must approve that person before they commence their role.

The COLP and COFA of a firm have specific responsibility for ensuring a firm, its managers and employees comply with the SRA's regulatory requirements. They are also responsible for recording any breaches and reporting those to the SRA, where necessary.

A firm must promptly notify the SRA if it becomes aware of any material changes to information previously provided to the SRA, by it or on its behalf, including to its compliance officers.

Hibernian Private Client Limited failed to:

- promptly notify the SRA of a material change to the information it had previously provided to the SRA about its COLP

in breach of paragraph 3.8(a) of the Code of Conduct for Firms.

The firm continued to breach this requirement after the SRA directed it to pay a fixed financial penalty of £750.

[Fined Date: 30 April 2024](#)

Decision - Fined

Outcome: Fine

Outcome date: 30 April 2024

Published date: 3 July 2024

Firm details

No detail provided:

Outcome details

This outcome was reached by SRA decision.

Decision details

Hibernian Private Client Limited was directed to pay a fixed financial penalty of £750 and costs of £150.

Reasons/basis

Hibernian Private Client Limited is a licensed body whose office is at 4th Floor, 18 St Cross Street, London, EC1N 8UN.

Firms must at all times have in place a compliance officer for legal practice (COLP) and a compliance officer for finance and administration (COFA).

A firm must designate a person as its COLP and a person as its COFA and the SRA must approve that person before they commence their role.

The COLP and COFA of a firm have specific responsibility for ensuring a firm, its managers and employees comply with the SRA's regulatory requirements. They are also responsible for recording any breaches and reporting those to the SRA, where necessary.

A firm must promptly notify the SRA if it becomes aware of any material changes to information previously provided to the SRA, by it or on its behalf, including to its compliance officers.

Hibernian Private Client Limited failed to:

- promptly notify the SRA of a material change to the information it had previously provided to the SRA about its COLP in breach of paragraph 3.8(a) of the Code of Conduct for Firms.

The firm failed to remedy this breach after being given notice and reasonable time in which to do so

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