

Financial penalties – our proposed new approach

6 October 2022

Learn more about our ongoing consultation - and put your questions to our panel - on the detail of proposed reforms to our approach to issuing financial penalties for law firms and solicitors.

Among the areas we are consulting on, and will be discussing, are:

- Plans to improve the openness and robustness of our procedures
- The introduction of fixed fines for lower-level misconduct
- New arrangements to directly link all fine levels to bandings based on percentages of income/turnover – for both firms and individuals
- Piloting the use of victim impact statements for cases involving sexual misconduct, discrimination or any form of harassment.

Download slides as PPT (PPTX 5 pages, 172KB)

[https://www.sra.org.uk/globalassets/documents/sra/news/events/2022/financial-penalties.pptx?version=4a82d2]

Download slides as a PDF (PDF, 5 pages 150 KB)

[https://www.sra.org.uk/globalassets/documents/sra/news/events/2022/financial-penalties.pdf? version=4a82d2]

[https://www.sra.org.uk/globalassets/documents/sra/news/events/2022/financial-penalties.pptx?version=4a82d2]